





Docket No.: PF-0565 USN

DT14 Rec'd PCT/PTO 02 JUN 2003

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 30, 2003.

By:

*Nancy Ramos*

Printed: Nancy Ramos

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 23 2003

TECH CENTER 1600/2900

In re Application of: Hillman et al.

Title: PHOSPHORYLATION EFFECTORS

Serial No.: 09/744,794

Filing Date: October 5, 2001

Examiner: To Be Assigned

Group Art Unit: 1646

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the Official Filing Receipt (with red-line markings to note changes) received from the United States Patent and Trademark Office (USPTO) in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

- Under the Section entitled, "IND CLAIMS" please replace, "3" with --2 --;
- Under the Section entitled, "Domestic Priority data as claimed by applicant," please replace.  
"This application is a 371 of PCT/US99/17132 07/28/1999 and is a CIP of 09/123,494 07/28/1998 ABN which is a CIP of 09/152,814 09/14/1998 ABN which is a CIP of 09/173,482 10/14/1998 ABN which is a CIP of 60/106,889 11/03/1998 This application 09/744,794 is a CIP of 06/109,093 11/19/1998 and is a CIP of 60/113,796 12/22/1998 and is a CIP of 09/229,005 01/12/1999 ABN"  
with --This application is a 371 of PCT/US99/17132 07/28/1999 which claims benefit of 60/155,213 07/28/1998 and 60/155,196 09/14/1998 and 60/106,889 11/03/1998 and 60/155,239 10/14/1998 and 60/109,093 11/19/1998 and 60/113,796 12/22/1998 and 60/155,233 01/12/1999 --.

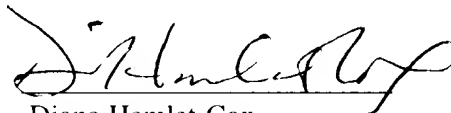
Applicants hereby request that the above corrections be entered based on the following enclosed documents:

1. Copy of First Page of Corrected Version of Published PCT/US99/17132
2. Copy of Application Transmittal with Express Certificate of Mailing dated 01/26/01 (2 pp).

Applicants believe that no fee is due with this paper. However, if the Commissioner determines that a fee is necessary, the Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. **09-0108**.

Respectfully submitted,

INCYTE CORPORATION



Diana Hamlet-Cox

Reg. No. 33,302

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/744,794	10/05/2001	1646	990	PF-0565 USN		20	3, 2

CONFIRMATION NO. 4717

FILING RECEIPT



\*OC00000009838803\*

Incyte Genomics Inc  
Legal Department  
3160 Poter Drive  
Palo Alto, CA 94304

Date Mailed: 04/18/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703 -746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

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Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US99/17132 07/28/1999  
and is a CIP of 09/123,494 07/28/1998 ABN  
which is a CIP of 09/152,814 09/14/1998 ABN  
which is a CIP of 09/173,482 10/14/1998 ABN  
which is a CIP of 60/106,889 11/03/1998  
This application 09/744,794  
is a CIP of 60/109,093 11/19/1998  
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THIS APPLICATION IS A 371 OF  
PCT/US99/17132 07/28/1999  
AND IS A CIP OF  
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WHICH IS A CIP OF  
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THIS APPLICATION 09/744,794  
IS A CIP OF  
60/109,093 11/19/1998  
AND IS A CIP OF  
60/113,796 12/22/1998

and is a CIP of 09/229,008 01/12/1999 ABN

## Foreign Applications

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

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## Title

Phosphorylation effectors

TECH CENTER 1600/2900

## Preliminary Class

514

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### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

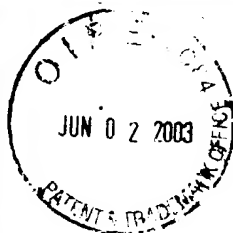
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121 -128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**ATTORNEY'S DOCKET NUMBER  
**PF-0565 USN**U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
TO BE ASSIGNEDINTERNATIONAL APPLICATION NO.  
PCT/US99/17132INTERNATIONAL FILING DATE  
28 July 1999PRIORITY DATE CLAIMED  
28 July 1998**TITLE OF INVENTION  
PHOSPHORYLATION EFFECTORS****APPLICANT(S) FOR DO/EO/US****INCYTE PHARMACEUTICALS, INC.; HILLMAN, Jennifer L.; LAL, Preeti; TANG, Y. Tom; CORLEY, Neil C.;  
GUEGLER, Karl J.; BAUGHN, Mariah R.; PATTERSON, Chandra; BANDMAN, Olga; AU-YOUNG, Janice;  
GORGONE, Gina A.; YUE, Henry; AZIMZAI, Yalda; REDDY, Roopa; LU, Dyung Aina M.; SHIH, Leo :L.**

Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau)
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:

- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: **EL 743 380 044 US**

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>TO BE ASSIGNED</b>	INTERNATIONAL APPLICATION NO.: PCT/US99/17132	ATTORNEY'S DOCKET NUMBER PF-0565 USN
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17. ☐ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$710.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$690.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

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ENTER APPROPRIATE BASIC FEE AMOUNT =				\$690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	20 =	0	N \$ 18.00	\$	
Independent Claims	2 =	0	N \$ 80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			- \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$690.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$690.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$690.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property -				\$	
TOTAL FEES ENCLOSED =				\$690.00	
				Amount to be Refunded:	\$
				Charged:	\$

a. A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 09-0108 in the amount of \$ 690.00 to cover the above fees.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

INCYTE GENOMICS, INC.  
 3160 Porter Drive  
 Palo Alto, CA 94304

SIGNATURE

NAME: Diana Hamlet-Cox

REGISTRATION NUMBER: 33,302

DATE: 26 January 2001